

REGULATORY MAPPING

WRAP YOUR ARMS AROUND YOUR GLOBAL COMPLIANCE AND IDENTIFY THE GAPS BETWEEN YOUR POLICIES AND COMPLEX REGULATIONS

Overview

As a professional tasked with managing your company's compliance, you inevitably face the challenge of keeping up with a fast-changing regulatory environment. Government rules and regulations are rapidly increasing in both volume and complexity. Moreover the burden to comply is compounded by strained internal resources and increased enforcement by government regulators and self-regulatory organizations. It is no wonder that regulatory compliance has been consistently highlighted as the number one concern of general counsel and compliance officers, particularly at highly-regulated companies with global operations.

In order to establish a compliance infrastructure that minimizes the business, operational and reputational risks associated with non-compliance, corporations have the overwhelming task of wrapping their arms around the rules and regulations that impact their business, developing and constantly updating legal inventories of these rules, and ensuring that internal controls are in place to comply with these rules. But how else can you have any peace of mind that you have substantially reduced your company's likelihood of the financial and reputational risks that are often caused by a government investigation?

That's Where Pangea3 Comes In

Only Pangea3 has the expertise, experience and scale to provide you with a comprehensive snapshot of your baseline regulatory position across jurisdictions. We tailor our simple two-step process to provide the information you need to ensure compliance.

Rule Inventory: For companies that need assistance developing or updating their compliance checklists, Pangea3 attorneys will work with you and, if you deem appropriate, your outside counsel to help you inventory regulations relevant to your business. After learning your risk categories, Pangea3 will review all potentially relevant rules and identify any rules that are not currently on your legal inventory -- working off the list of applicable regulators and using cutting edge tools and websites, including Thomson Reuters Compliance Complete.

Gap Analysis: After completing the rigorous rule identification process, Pangea3 full time attorneys will perform a gap analysis of your internal controls by reviewing each rule in your legal inventory and tracking where they are covered in your manuals, policies and procedures. Pangea3 Quality Controllers ensure that nothing is missed.

Periodic ongoing reviews are recommended to update legal inventories and ensure the mapping is current.

PANGEA3[®] CAN HELP YOU:

- Assess your current regulatory inventory against applicable rules
- Identify at a glance your current compliance obligations across jurisdictions
- Uncover areas where you need to make immediate changes to your internal controls
- Prepare for the implementation of a compliance management platform



WHY PANGEA3?

ABOUT PANGEA3

Pangea3, a Thomson Reuters business, is the most experienced provider of high quality outsourced legal solutions to Fortune 1000 companies and Am Law 200 law firms. We provide a comprehensive suite of award-winning legal services and process expertise, combined with Thomson Reuters intelligent information, tools and platforms, to provide a variety of low-to-medium complexity legal solutions performed by "lawyers in support of lawyers."

We have legal expertise

The nature of regulatory mapping necessitates analysis that can only be conducted by a trained attorney. Our permanent experienced business attorneys have the expertise to analyze whether your policies fully and currently cover all applicable regulations.

We have regulatory mapping experience

Our attorneys have real experience in delivering this unique service. We have reviewed rules from numerous federal, state, local regulators and rulebooks of self-regulatory organizations. Our team is able to apply its experience, which includes work in highly regulated industries like banks and insurance companies, across industries. Our expertise with these projects ensures we can begin a project more swiftly and are able to identify issues and anomalies as they come up.

We offer a tailored solution

Our consultative approach ensures that at the outset of the project, the rules and regulations that are most applicable to your unique business are identified before the mapping begins. This ensures the fastest and most efficient completion of the project, and an output that takes all of your concerns into account.

The accuracy of our results is unrivaled

We understand that you cannot afford mistakes that could lead to non-compliance. Our Six Sigma methodologies and two-tier quality control process ensure accuracy. When you couple that with our team's expertise, you can feel confident that you are getting the highest value solution.

We work with your timeframe

With over 1,000 people globally we have the ability to scale up and down as the project requires, and our experienced team will work within the timeframe you dictate.

We have technology expertise

Our services are a natural component of a compliance management platform implementation. We have unparalleled expertise in providing a final product that is compatible with industry leading compliance management platforms.

PUT PANGEA3 TO WORK FOR YOU

For more information, call **212-689-3819**, or visit **pangea3.com**.

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